

GOVERNANCE, RISK & AUDIT COMMITTEE – ANNUAL WORK PROGRAMME 2022/2023

Date	Topic	Lead Officer	Comments	Cycle
14th June 2022				
	EY Annual Audit Letter 2019/20	External Audit – Mark Hodgson	TBC	Annual
	Counter-Fraud, Corruption and Bribery Policy Update	Assistant Director Finance, Assets and Legal – Cara Jordan	To review and approve the updated Policy	Tri-annual
	Progress report on Internal Audit Activity	Internal Audit – Faye Haywood		Quarterly
	Annual Report/Opinion & Review of the Effectiveness of Internal Audit	Internal Audit – Faye Haywood		Annual
	Procurement Exemptions Register	Monitoring Officer – Cara Jordan	To review Procurement Exemptions	Quarterly
	Corporate Risk Register	Assistant Director Finance, Assets and Legal – Cara Jordan	To review the corporate risk register	Quarterly
	AGS 21/22 & Local Code of Corporate Governance	Assistant Director Finance, Assets and Legal – Cara Jordan	Review & approve AGS & Local Code of Corporate Governance	Annual
12th July 2022	TBC			
	Meeting Cancelled			
27th Sept 2022				
	Procurement Exemptions Register	Monitoring Officer – Cara Jordan	To review Procurement Exemptions	Quarterly
	Monitoring Officer's Report	Monitoring Officer – Cara Jordan		Annual
	Follow-up on Internal Audit Recommendations	Internal Audit – Faye Haywood	To include update on historical recommendations	Quarterly
	Progress Report on Internal Audit Activity	Internal Audit – Faye Haywood	To review progress on active internal audit recommendations	Quarterly
	Corporate Risk Register	Assistant Director Finance, Assets and Legal – Cara Jordan	To review the corporate risk register	Quarterly
	External Audit Plan 2020/21 TBC	EY External Audit	To review the external audit plan	Annual
	GRAC Annual Report 2021-22	Committee Officer – Matt Stembrowicz	To review Committee's work over the previous year	Annual

GOVERNANCE, RISK & AUDIT COMMITTEE – ANNUAL WORK PROGRAMME 2022/2023

Date	Topic	Lead Officer	Comments	Cycle
6th Dec 2022				
	Corporate Risk Register	Director for Resources		Quarterly
	Progress & Follow-up Report on Internal Audit Activity	Internal Audit	To review progress on internal audit recommendations	Quarterly
	Civil Contingencies Update	Resilience Manager		Annual
	Business Continuity Framework	Resilience Manager		
	Procurement Exemptions Register	Monitoring Officer	To review Procurement Exemptions	Quarterly
	Final statement of accounts/ sign-off 2020/21	Chief Technical Accountant		Annual
	External Audit Results report 2020/21 TBC	External Audit		Annual
	External Audit Letter 2020/21	External Audit		Annual
7th March 2023				
	Draft Statement of Accounts 2021/22	Director for Resources – Tina Stankley	To review the draft statement of accounts	Annual
	Strategic and annual plans internal audit plan 2023/24	Internal Audit – Faye Haywood		Annual
	Follow-up Report on Internal Audit Recommendations	Internal Audit – Faye Haywood	Deferred to June	Quarterly
	Progress Report on Internal Audit Activity	Internal Audit – Faye Haywood	Deferred to June	Quarterly
	GRAC self-assessment	Internal Audit – Faye Haywood		Annual
	Corporate Risk Register	Director for Resources – Tina Stankley	To review the corporate risk register	Quarterly
	Procurement Exemptions Register	Monitoring Officer – Cara Jordan	To review Procurement Exemptions	Quarterly
	Review of Council's Asset Register	Director for Resources – Tina Stankley	To review the number and value of Council assets	Committee Request
	Review of Reef Project recommendations	Corporate Business Manager – Lynda McElligott	To consider recommendations and lessons learnt for future projects	Recommended
	Review of NWHASHAZ Project Governance Framework	Corporate Business Manager – Lynda McElligott	To consider whether the project has adequately adhered to framework	Recommended

GOVERNANCE, RISK & AUDIT COMMITTEE – ANNUAL WORK PROGRAMME 2022/2023

2023				
	Risk Management Framework	Assistant Director Finance, Assets and Legal – Cara Jordan	To review the Council's risk management framework (Expected June 2023)	Bi-Annual
	Anti-money laundering policy	Internal Audit – Faye Haywood		3 years – Due 2024
	Whistle Blowing Policy	Monitoring Officer – Cara Jordan	To review the updated Whistleblowing Policy	Tri-annual June 2024
	Counter-Fraud, Corruption and Bribery Policy Update	Director of Resources	To review the updated Policy and Action Plan	June 2023
	External Audit Plan 2021/22 TBC	External Audit -	To review the external audit plan	Annual